



3-748 MP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: ROBERT E. WARBURTON Docket No.: 02-135
ET AL.

Serial No.: 10/058,621 Examiner :

Filed : January 29, 2002 Art Unit :

For : HEAT EXCHANGER PANEL

900 Chapel Street
Suite 1201
New Haven, CT 06510-2802

RECEIVED

MAY - 2 2002
TECHNOLOGY CENTER R3700

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts
Hon. Commissioner of Patents and Trademarks
United States Patent and Trademark Office
Washington, DC 20231

Dear Sir:

On March 29, 2002, a Notice to File Missing Parts was issued in connection with the above-captioned patent application, a copy of which is enclosed. The Notice required the submission of a signed Declaration.

A review of our files shows that the signed Declaration was submitted on March 18, 2002, along with a check in the amount of \$130.00. Enclosed please find a copy of said submission.

If any fees are required in connection with this case, it is respectfully requested that they be charged to Deposit Account No. 02-0184.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231

on April 10, 2002
(Date of Deposit)

Nicole Motzer

Name and Reg. No. of Attorney

Nicole Motzer

Signature

April 10, 2002

Date of Signature

Date: April 10, 2002

Respectfully submitted,

ROBERT E. WARBURTON ET AL.

By *Barry L. Kelmacher*
Barry L. Kelmacher
Attorney for Applicants

Area Code - 203
Telephone - 777-6628 - ext. 114
Telefax - 865-0297

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/058,621 | 01/29/2002 | Robert E. Warburton | 02-135 |

CONFIRMATION NO. 3430

FORMALITIES LETTER



Barry L. Kelmachter
BACHMAN & LaPOINTE, P.C.
Suite 1201
900 Chapel Street
New Haven, CT 06510-2802

Date Mailed: 03/29/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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TECHNOLOGY CENTER R3700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: ROBERT E. WARBURTON Docket No.: 02-135
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Serial No.: 10/058,621 Examiner :
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For : HEAT EXCHANGER PANEL

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TECHNOLOGY CENTER R3700

900 Chapel Street
Suite 1201
New Haven, CT 06510-2802

SUBMISSION OF DECLARATION

Hon. Commissioner of Patents and Trademarks
United States Patent & Trademark Office
Washington, D.C. 20231

Dear Sir:

Applicants enclose herewith a Combined Declaration and Power of Attorney in compliance with 37 C.F.R. 1.63. Also enclosed herewith is a check in the amount of \$130.00 to cover the surcharge for filing this Declaration.

Please charge any additional fee which may be required hereunder or credit any overpayment to Deposit Account No. 02-0184. A duplicate copy of this letter is enclosed herewith in connection with any deposit account charge or credit.

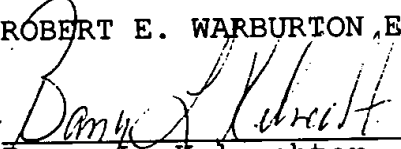
It is submitted that this application now complies with all formal requirements and therefore an action on the merits is respectfully requested.

If any additional fees are required in connection with this case, it is respectfully requested that they be charged to Deposit

Respectfully submitted,

ROBERT E. WARBURTON, ET AL.

By


Barry L. Kelmachter
Attorney for Applicants

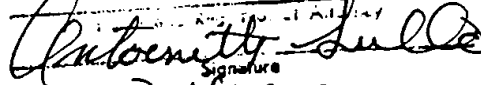
Area Code: 203
Telephone: 777-6628
Telefax : 865-0297

Date: March 18, 2002

I hereby certify that this correspondence is true and
deposited with the United States Postal Service as first
class mail in accordance with the provisions of the
e-Petition Act, 28 U.S.C. § 536, and the Federal Rules of
Civil Procedure, 28 U.S.C. § 536.

March 18, 2002

Antoinette Sullo


Signature

3-18-02
Date of Signature